# Conflict of Interest Code of the

### **ROWLAND WATER DISTRICT**

# Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

### Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head; or his or her designee. The agency shall make and retain a copy of all statements filed by its Board Members, Governing Board Members, Alternate Board Members, as appropriate, and its agency head (Agency/Department Head, Executive Officer or Chief Executive Officer, Superintendent, or Director), and forward the originals of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).



# ROWLAND WATER DISTRICT CONFLICT OF INTEREST CODE

# EXHIBIT A DISCLOSURE CATEGORIES

Employees and consultants who are designated under the District's Conflict of Interest Code shall disclose all financial interests applicable to their position as described in the following categories:

#### **CATEGORY 1**

Positions required to report financial interests in Category 1 shall disclose all interests in real property, except for the person's primary residence, that is located within the jurisdiction of the District and within two-miles outside the boundaries of the District, or within two miles of any property owned or used by the District.

### **CATEGORY 2**

Positions required to report financial interests in Category 2 shall disclose all sources of income, investments, and business positions in businesses that produce products or provide services of a type utilized by the District in the following categories:

- Building materials
- Construction and contracting services
- Construction equipment sales and services
- Pipe and fitting manufacturing and sales
- Pumps, valves, meters and other water-related equipment manufacturing, sales, and repair
- Electrical controls and equipment manufacturing, sales and services
- Motor vehicle sales and maintenance
- · Specialty vehicle manufacturing, sales and service
- Vehicle replacement parts
- Petroleum products
- Water quality testing services, equipment or supplies
- Water treatment services, equipment or supplies
- Geological testing and consulting services
- Safety equipment, testing and supplies
- Engineering services
- Architectural design services
- Surveying services



# ROWLAND WATER DISTRICT CONFLICT OF INTEREST CODE

# EXHIBIT A (CONTINUED) DISCLOSURE CATEGORIES

### **CATEGORY 3**

Positions required to report financial interests in Category 3 shall disclose all sources of income, investments, and business positions in businesses that produce products or provide services of a type utilized by the District in the following categories:

- Financial Services Providers including stock brokerage services, underwriting services, investment consulting
- · Banks, savings and loans, and credit unions
- Accounting and auditing services
- Insurance and bonding services
- Computer equipment and software, programming and services
- Billing and payroll services
- Office equipment and supplies
- Printing services
- Security services and armored transport services

#### **CATEGORY 4**

Positions required to report financial interests in Category 4 shall disclose all sources of income, investments, and business positions in businesses that produce products or provide services of a type utilized by the District in the following categories:

- Office equipment and supplies
- Stationery and printing services and supplies
- Computer equipment and software sales, and maintenance and repair services
- Newspaper publishing
- Advertising services
- Management consulting services



# ROWLAND WATER DISTRICT CONFLICT OF INTEREST CODE

# EXHIBIT A (CONTINUED) DISCLOSURE CATEGORIES

#### **CATEGORY 5**

Positions required to report financial interests in Category 5 shall disclose all sources of income, investments, and business positions in businesses that produce products or provide services of a type utilized by the District in the following categories:

- Legal services
- Litigation-related support services such as deposition reporting, court filing and process serving
- Real property appraisal services
- Financial consulting services

#### **CATEGORY 6**

The District may, from time to time, engage the services of consultants to prepare studies and reports, or provide expert advice to the Board of Directors or staff without significant intervening supervision or review of District staff, which activities could directly influence a decision or action of the District and could foreseeably have a material financial affect on the consultant. The District may retain consultants in a number of fields, including but not limited to engineering, financial services and legal services, and the types of economic interests which could foreseeably be affected by advice or recommendations of a consultant depends upon the type of services being provided by the consultant. Upon retaining a consultant, the General Manager shall make a determination on a case by case basis, whether the nature and scope of the consultant's services are such that it would be foreseeable that economic interests of the consultant could be materially affected by decisions or actions taken by the District based in whole or in substantial part on information provided or recommendations made by the consultant. If the General Manager determines that there is a potential for a material financial effect, then the consultant will be required to report his economic interests under this Conflict of Interest Code. The types of economic interests which must be disclosed by a consultant shall be determined by the General Manager, which shall be appropriate in view of the nature of the consultant's services. In lieu of designating specific types of economic interests, the General Manger may select one or more of the other disclosure categories set forth in this Exhibit A as the reporting category applicable to a consultant where appropriate.



# ROWLAND WATER DISTRICT CONFLICT OF INTEREST CODE

# EXHIBIT B DESIGNATED POSITIONS AND DISCLOSURE CATEGORIES

Persons employed in the following designated positions and consultants providing the following services to the District shall report their financial interests under the District's Conflict of Interest Code in the disclosure categories set forth below:

### OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the following positions involve the management of public investments and therefore are required to disclose their financial interests under Article 2 of the Political Reform Act (commencing with Government Code §87200) rather than under the disclosure categories set forth in the District's Conflict of Interest Code.

Member of the Board of Directors General Manager Finance Officer

#### **DESIGNATED POSITIONS**

Assistant General Manager Executive Secretary District Legal Counsel District Engineer Consultant

#### **REPORTING CATEGORIES**

1, 2 and 3 4 1, 2, 3, 4 and 5 1 and 2

EFFECTIVE: March 21, 2007